Attorney's Docket No.:17118-052001 / 2831

### OFFICIAL COMMUNICATION FACSIMILE:

OFFICIAL FAX NO: (571) 273-8300

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AUG 1 5 2006

Number of pages including this page: 9

Applicant: Stephen Grimes et al.

Art Unit : 1641

Serial No.: 10/813,336

Examiner: Foster, Christine E.

Filed: March 29, 2004

Title

: GASTRIN HORMONE IMMUNOASSAYS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is a Revocation and New Power of Attorney by Assignee, a Certificate under 37 CFR§3.73(b) and a Change of Correspondence Address Application, faxed this 15th day of August, 2006 to Commissioner for Patents, U.S. Patent and Trademark Office.

Respectfully submitted,

Date: August 15, 2006

Stephapie Seidman Reg. No. 33,779

Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070

Fax: (202) 626-7796 Email: seidman@fr.com

10656619.doc

NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at (858) 678-5070 to arrange for its return. Thank you.

## AUG 1 5 2006

Attorney's Docket No.: 17118-052001 / 2831

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen Grimes et al.

Art Unit : 1641

Serial No.: 10/813,336

Examiner: Foster, Christine E.

Filed

: March 29, 2004

Conf.:No.: 5110

Title

: GASTRIN HORMOME IMMUNOASSAYS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

### REVOCATION AND NEW POWER OF ATTORNEY BY ASSIGNEE

Revoking any and all powers of attorney and authorizations of agent heretofore made in respect of the above-captioned patent application, the Assignee of the entire interest in this patent application hereby appoints the following as its attorneys, with full power of substitution and revocation, to prosecute the application and to transact all business in the Patent and Trademark Office connected therewith:

> STEPHANIE SEIDMAN, Registration No. 33,779; FRED C. HERNANDEZ, Registration No. 41,832, PAULA K. SCHOENECK, Registration No. 39,362 SCOTT C. HARRIS, Registration No. 32,030.

Address all correspondence regarding this patent application to:

20985

FISH & RICHARDSON P.C. PO BOX 1022 MINNEAPOLIS, MN 55440-1022

Direct all telephonic inquiries to Stephanie Seldman, Registration No. 33,779 at telephone no. (858) 678-5070.

Title: President & Chief Scientific Officer

Attorney's Docket No.: 17118-052001 / 2831

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen Grimes et al.

Art Unit: 1641

RECEIVED CENTRAL FAX CENTER

Serial No.: 10/813,336 Filed

Examiner: Foster, Christine E.

: : March 29, 2004

Conf. No.: 5110

AUG 1 5 2006

Title

: GASTRIN HORMOME IMMUNOASSAYS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

RECEPTOR BIOLOGIX, INC., a corporation organized under the laws of the state of Delawarc, United States of America, having a place of business at 1140 Veterans Blvd., Suite A, South San Francisco, CA 94080, is an Assignee of the entire right, title, and interest in the above-captioned patent application by virture of:

A chain of title from the inventors of the patent application identified above, to the current assignee as shown below:

- From Stephen Grimes to Aphton Corporation recorded in the Patent and Trademark Office at Reel 015465, Frame 0812 on December 15, 2004.
- From John Little and Lorraine McLoughlin to Huntingdon Life Sciences Limited recorded in the Patent and Trademark Office at Reel 015465, Frame 0775 on December 15, 2004.
- 3. From Huntingdon Life Sciences Limited to Aphton Corporation recorded in the Patent and Trademark Office at Reel 015465, Frame 0787 on December 15, 2004.
- From Aphton Corporation to Receptor Biologix, Inc., an assignment executed on August 8, 2006 and August 10, 2006, referencing application serial number 10/813,336,

A copy of the Patent Assignment Abstract of Title for application serial number 10/813,336, as well as a copy of the executed assignment from Aphton Corporation to Receptor Biologix, Inc. are attached.

Applicant: Stephen Grimes et al.

Serial No. : 10/813,336 Filed : March 29, 2004

Page : 2 of 2

Attorney's Docket No.: 17118-052001 / 2831

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the Assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

RECEPTOR BIOLOGIXANO

H. Michael Shenar

Title: President & Chief Scientific Officer



### United States Patent and Trademark Office

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### Assignments on the Web > Patent Query

### **Patent Assignment Abstract of Title**

NOTE:Results display only for Issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 3

Patent #: NONE

Issue Dt:

**Application #: 10813336** 

Filing Dt: 03/29/2004

Publication #: <u>US20050069966</u>

Pub Dt: 03/31/2005

Inventors: Stephen Grimes, John Little, Lorraine McLoughlin

TITLE: GASTRIN HORMONE IMMUNOASSAYS

Assignment: 1

Reel/Frame: 015465/0812

Recorded: 12/15/2004

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS). Assignor: GRIMES, STEPHEN

Exec Dt: 09/09/2004

Assignes: APHTON CORPORATION **26 HARTER AVENUE** 

SUITE 14

**WOODLAND, CALIFORNIA 95776** 

Correspondent: WHITE & CASE LLP

**ALGIS ANILIONIS** 

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

Assignment: 2

Reel/Frame: 015465/0775

Recorded: 12/15/2004

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: LTTLE\_IQHN

Exec Dt: 11/24/2004

MCLOUGHLIN, LORRAINE

Exec Dt: 11/24/2004

Assignee: HUNTINGDON LIFE SCIENCES LIMITED

**WOOLEY ROAD** 

ALCONBURY, HUNTINGDON

CAMBRIDGESHIRE PE28 4HS, ENGLAND

Correspondent: WHITE & CASE LLP

**ALGIS ANILIONIS** PATENT DEPARTMENT

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

Assignment: 3

Reel/Frame: 015465/0787

Recorded: 12/15/2004

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: HUNTINGDON LIFE SCIENCES LIMITED

Exec Dt: 11/25/2004

Assignee: APHTON CORPORATION

**26 HARTER AVENUE** 

**SUITE 14** 

**WOODLAND, CALIFORNIA 95776** 

Correspondent: WHITE & CASE LLP

**ALGIS ANILIONIS** PATENT DEPARTMENT

1155 AVENUE OF THE AMERICAS

NEW YORK, NY 10036

Searct Rosulta an of; 08/10/2006 07:21 PM you have any comments or quantities conferring the data displayed, context PRD / Assignments at 571-272-3260, Web interface lest modified: July 26, 2006 v.1.10

PAGE

AUG 1 5 2006

APSTON ASSERBIGATION S TO RECEPTOR MOLOGIZ

### **ASSIGNMENT**

In consideration of good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned Assignor hereby assigns to:

RECEPTOR BIOLOGIX, INC., 1140 Veterans Boulevard, Suite A, South San Francisco, CA 94080, a corporation organized under the laws of Delaware (Assignee)

its successors, legal representatives, and assigns, the entire right, title and interest in and to all subject matter owned by the undersigned Assignor that is disclosed in the patents and patent applications listed in Exhibit A attached hereto, except as provided for in a certain Collaboration and Liceuse Agreement between Assignor and Daiichi Pure Chemicals Co., Ltd., dated June 22, 2004 ("Liceuse Agreement").

The undersigned Assignor acknowledges that it has assigned, and transferred, and by these presents does hereby assign, and transfer, to Receptor Biologix, Inc., its successors, legal representatives, and assigns, the entire right, title, and interest, throughout the world, except as provided for in the License Agreement, in, to, and under the subject matter, and the Patents and Patent Applications, and all Patents that may be granted thereon, and all divisions, continuations, continuation-in-parts, reissues, recraminations, renewals, and extensions thereof, and all International Convention and Treaty rights of all kinds, in all countries throughout the world, including the right to file national and regional patent applications directly in the name of the Assignee and to claim for any such applications any priority rights to which such applications are entitled under International Conventions, Treaties or otherwise, and all applications for Letters Patent that may hereafter be filed for said subject matter or for Patents and Patent Applications in any country or countries foreign to the United States.

The undersigned Assignor hereby authorizes and requests the United States
Commissioner for Patents, and any Official of any country foreign to the United States,
whose duty it is to issue patents on applications, to issue all Letters Patent for the subject
matter, as well as all Patents resulting from the Patent Applications, to the Assignee, its
successors, legal representatives, and assigns, in accordance with the terms of this agreement.

The undersigned Assignor assigns, transfers, and conveys to Receptor Biologix, Inc., its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned that may have accrued prior to the date of assignment or that may accrue hereafter, including, but not limited to, the right to bring suit for, collect and retain damages for past infringements of the issued Letters Patent.

The undersigned Assignor warrants bimself the owner, or a legal representative of the owner, of the entire right, title and interest in the subject matter, except as provided for in the License Agreement, and to have the right to make this assignment.

For said considerations the undersigned Assignor hereby agrees, upon the request and at the expense of the Assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit, or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all

Page 1 of 3

### APRICH AMEGINERO NO. 2 TO RECEPTOR PROLOGEX

applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said Assignee, its successors or assigns may reasonably deem necessary or expedient, and for the consideration, the undersigned Assignor authorizes Receptor Biologix, Inc., to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said Assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned Assignor with said Assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of the preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the Assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said Assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned Assignor if this assignment had not been made.

ASSIGNOR

Signature: Printed:

Title:

Aprilon Corp

Consumuealth,

COUNTY OF Philadelphy

WITNESS my hand and official seal,

Notary Public

MOTABLE STALL
Markey H. Pilmers, Staley Poblic
City of Philadelphia, Phila, County
The County Stales and County
The County Stales an

Page 2 of 3

APETON ASSEGNMENT NO. 1 TO RECEPTOR STOLOGIX

**ASSIGNEE** 

Signature:

Printed: Title:

For:

THOMAS A. GLAZE

CEO RECEPTOR BIOLOGIK, INC.

STATE OF CANPERSION ) SS.
COUNTY OF SAW MATER )

on August 8, 2006, before me, the undersigned, a notary public for the State of August 16 for the State of August 16 for the State of Medical personally appeared Thomas 16 for the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person or the entity upon behalf of which the person acted executed the instrument.

WITNESS my hand and official seal.

CALEB NG
GOMM. 91081984
POTREY PRINTS - OCUMPAN SAM PRINTS COLUMN ST. 2000
Comm. Eq. MARCH 20, 2000

Notary Public

# APHTON ASSIGNMENT NO. 2 TO RECEPTOR BIOLOGIX

# EXHIBIT A

| PATENT NO.      | ISSUE DATE  |                              |                            | ATTORNEY DOCKET   |
|-----------------|-------------|------------------------------|----------------------------|-------------------|
| ao<br>-         | S           |                              | COUNTRY                    | Ć.                |
| APPLICATION NO. | FILING DATE |                              |                            |                   |
| 60/458,244      | 3/28/2003   | GASTRIN HORMONE ASSAY        | UNITED STATES              | 1102865-046 (W&C) |
| 10/813,336      | 3/29/2004   | GASTRIN HORMONE IMMUNOASSAYS | UNITED STATES              | 1302865-046 (W&C) |
| 1020057018199   | 3/29/2004   | GASTRIN HORMONE ASSAY        | KOREA, REPUBLIC OF         | 1102865-046 (W&C) |
| 2006-509465     | 3/29/2004   | GASTRIN HORMONE ASSAY        | IAPAN                      | 1102865-046 (W&C) |
| 2441 CHENT 2005 | 3729/2004   | GASTRIN HORMONE ASSAY        | PADIA<br>PADIA             | 1102865-046 (W&C) |
| 04758568.2      | 3/29/2004   | GASTRIN HORMONE ASSAY        | EUROPE                     | 1102865-046 (W&C) |
| 200480008479    | 3/29/2004   | GASTRIN HORMONE ASSAY        | CHINA, PEOPLES REPUBLIC OF | 1102865-046 (W&C) |
| 2,520,010       | 3/29/2004   | GASTRIN HORMONE ASSAY        | CANADA                     | 1302865-046 (W&C) |
| 2004225437      | 3/29/2004   | GASTRIN HORMONE ASSAY        | AUSTRALIA                  | 1102865-046 (W&C) |
| PCTAUS04/009666 | 3729/2004   | GASTRUN HORMONE ASSAY        | WIPO                       | 1102865-046 CWACO |